

03650.001005

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BING R. HSIEH, ET AL.

Application No.: ~~Not Assigned~~

Filed: ~~Herewith~~

For: LIGHT EMISSIVE

IRIDIUM (III) COMPLEXES

Examiner: ~~Not Assigned~~

Group Art Unit: ~~Not Assigned~~

Date: February 7, 2002

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be initialled and returned indicating that such information has been considered.

SAM
#2
8-15-02

31000 U.S. PTO
10/067797
02/08/02

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should be directed to our address given below.

Respectfully submitted,



Attorney for Applicants

Registration No. 43,391

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NY MAIN 236859v1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

ATTY DOCKET NO.

03650.001005

APPLICATION NO.

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APPLICANT

BING R. HSIEH, ET AL.

FILING DATE

HEREWITH

GROUP

NOT YET ASSIGNED

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,720,432	1/19/88	VanSlyke et al.			
	4,954,414	9/4/90	Adair et al.			
	5,585,279	12/17/96	Davidson			
	5,660,991	8/26/97	Lakowicz et al.			
	5,822,137	10/13/98	Abul-Haj et al			
	5,874,803	2/23/99	Garbuzov et al.			
	5,958,783	9/28/99	Josel et al.			
	5,981,286	11/9/99	Herrmann et al.			
	6,018,065	1/25/00	Baum et al.			
	6,048,630	4/11/00	Burrows et al.			
	6,126,996	10/3/00	Kirlin et al.			
	6,166,489	12/26/00	Thompson et al.			
	6,215,245	4/10/01	Mori			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
WO 01/39234	5/31/01	PCT			
WO 01/41512	6/7/01	PCT			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

C. M. Flynn, Jr., et al., "Synthesis and Luminescence of the Tris(2,2'-bipyridine Iridium (III) Ion", J. Amer. Chem Soc., March 20, 1974, Vol. 96, No. 6, ppgs. 1959-1960.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy form with next communication to applicant.

Sheet 1 of 1